

# Ubiquitin-Proteasome System Dysregulation in Alzheimer's Disease Impacts Protein Abundance

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## Abstract

1 Alzheimer's disease (AD) is a relentlessly progressive, fatal neurodegenerative  
2 disorder associated with widespread aberrant proteomic changes. The full extent  
3 of protein dysfunctions in AD and their impact on cellular physiology remains  
4 unknown. Here, we used plexDIA, an approach that parallelizes the acquisition  
5 of samples and peptides, to characterize proteomic changes in AD. Using human  
6 dorsolateral prefrontal cortex tissue, we identified 281 differentially abundant pro-  
7 teins in AD. By systematically analyzing cellular compartment-specific shifts in  
8 protein abundance, we identified an AD-specific decrease in levels of the 20S  
9 proteasome, the catalytic core of the cell's primary protein degradation pathway.  
10 This alteration was accompanied by widespread decreases in proteasome subunit  
11 stoichiometries. Many proteasome substrate proteins were negatively correlated  
12 with 20S levels and increased in AD, suggesting that reduced 20S levels leads  
13 to abnormal protein accumulation. By analyzing proteins increased in AD, we  
14 identify key properties of such proteins. Namely, they have highly specific subcel-  
15 lular localizations and fast degradation rates, they contain signal sequences that  
16 allow them to be targeted for proteasomal degradation, and they are targeted by  
17 quality control pathways that recognize mislocalized proteins. Furthermore, we  
18 identify coherent sets of ubiquitin system enzymes, proteins that target substrates  
19 for proteasomal degradation, whose levels robustly discriminate AD from non-AD  
20 samples. One subset exhibited consistent increases in AD, while another exhibited  
21 consistent decreases, revealing complex alterations to the ubiquitin system in AD.  
22 Taken together, our results suggest that decreased ubiquitin-proteasome system  
23 capacity and impaired clearance of short-lived and mislocalized proteins contribute  
24 substantially to proteopathic burden in AD.

## 25 Introduction

26 Alzheimer's disease (AD), the most common form of dementia, results in pro-  
27 gressive memory loss, emotional disturbances, and cognitive dysfunction<sup>1,2</sup>. The  
28 disease's defining pathological features are filamentous intracellular inclusions  
29 containing hyperphosphorylated tau and extracellular aggregates of amyloid beta  
30 peptide<sup>3-5</sup>. However, protein dysfunctions in AD affect a multitude of proteins with  
31 distinct sequence compositions, structures, functions, and subcellular localizations.  
32 For example, neuronal inclusions containing the synaptic protein alpha-synuclein<sup>6,7</sup>,  
33 the nuclear RNA-binding protein TDP-43<sup>6,8</sup>, and components of the U1 small nu-  
34 clear ribonucleoprotein spliceosome<sup>9,10</sup> often occur in AD-afflicted neurons. These  
35 protein lesions exert numerous downstream effects on neuronal physiology and  
36 protein homeostasis<sup>11-13</sup> which may further exacerbate the development and spread  
37 of AD protein pathologies and neuron loss<sup>14</sup>. The full extent of protein dysfunctions  
38 in AD and the mechanisms that give rise to them remain incompletely characterized,  
39 limiting our understanding of the disease's causes and hindering efforts to develop  
40 disease-modifying therapies<sup>15</sup>.

41 Mass spectrometry (MS) offers a comprehensive means of characterizing AD-  
42 associated protein dysfunctions. In particular, MS instruments can directly measure  
43 a sample's complement of proteins with high sensitivity and quantitative accu-  
44 racy<sup>16,17</sup>. Using such data to identify individual proteins with large fold changes  
45 between AD and non-AD samples can reveal novel AD protein dysfunctions and  
46 pathologies<sup>9,10</sup> in addition to robustly detecting increases in proteins known to  
47 form pathological aggregates in AD<sup>9,10,18,19</sup>. AD protein pathologies reflect a fail-  
48 ure of quality control mechanisms to clear aberrantly misfolded, damaged, and  
49 aggregated proteins from cells<sup>12,13</sup>. As a post-mitotic cell population, neurons are  
50 acutely vulnerable to these challenges, as they cannot reduce proteopathic burden  
51 by dilution through cell division<sup>13,20</sup>. However, because of the large changes in  
52 protein abundance that result from AD protein pathologies, these changes can be  
53 readily detected in bulk brain tissue samples containing multiple cell types with  
54 varying degrees of AD pathology<sup>9,10,18,19,21,22</sup>. Proteomic analysis of bulk samples  
55 thus holds considerable promise for identifying novel AD protein dysfunctions and  
56 pathologies at the level of individual proteins.

57 Proteomic data has also proven valuable for providing systems-level insights into  
58 the molecular mechanisms of AD and AD-associated protein pathologies. Pairing

59 large-scale proteomic data with systems-level analytical approaches can detect  
60 alterations to biological processes and cellular compartments in AD<sup>23–25</sup>. For  
61 example, proteomic profiling of AD samples detects the expected strong and  
62 significant downward shift in cytoskeletal and synaptic proteins, reflecting the loss  
63 of cytoskeletal integrity following tau dissociation from microtubules and synaptic  
64 dysfunction, respectively<sup>23–25</sup>. Upward shifts in inflammation-related proteins  
65 similarly reflect glial activation in AD<sup>26</sup>. Network analysis is a related approach  
66 that identifies disease modules, clusters of highly correlated proteins altered in  
67 disease<sup>10,19,27</sup>. Proteomic data has been used to identify dozens of AD modules,  
68 highlighting altered signaling networks, metabolic pathways, and cell states in  
69 AD<sup>23–25,28</sup>.

70 Although MS proteomics has been productively used to identify novel individual  
71 AD protein pathologies and network alterations, the approach's full potential  
72 has yet to be realized in the context of AD. In particular, sample throughput,  
73 defined as the number of parallel samples and proteins that can be analyzed,  
74 remains limiting. Approaches that analyze a single sample per MS run ("label-  
75 free") provide excellent quantitative accuracy and identify many proteins<sup>16,29</sup>.  
76 However, AD is a highly heterogeneous disease influenced by complex genetic  
77 and lifestyle factors<sup>15,30–32</sup>. Understanding the disease's causal mechanism thus  
78 requires profiling large cohorts, which is impractical with label-free approaches.  
79 Molecular barcodes ("mass tags") allow multiple samples to be pooled and run  
80 simultaneously<sup>33</sup>. However, multiplexing samples often results in fewer proteins  
81 identified per sample and quantification relying on isobaric mass tags is often  
82 adversely affected by co-isolation interference<sup>34,35</sup>. Further, the vast majority of  
83 prior AD MS proteomic profiling efforts have used data-dependent acquisition,  
84 an approach that isolates and fragments one peptide precursor at a time. In an  
85 alternative framework, data-independent acquisition (DIA), all precursors within a  
86 specified window are analyzed, increasing throughput by parallelizing the analysis  
87 of peptides<sup>36,37</sup>. Recent advances have combined mass tags with DIA to increase  
88 throughput in MS proteomics<sup>38,39</sup>. The resulting experimental and computational  
89 framework, plexDIA, provides multiplicative gains in throughput by simultaneously  
90 multiplexing samples and peptides<sup>38,39</sup>. Quantification with plexDIA is based  
91 on peptide-specific fragments<sup>40</sup>, so it is not affected by co-isolation interference  
92 that undermines the accuracy of TMT-based multiplexing approaches. However,  
93 plexDIA has yet be applied in the context of AD.

94 Here, we leveraged plexDIA to quantify proteins in human AD and non-AD  
95 brain tissue samples. We used the resulting datasets to identify individual protein  
96 alterations and systematic changes in proteins mapped to well-annotated biological  
97 processes and subcellular localizations. The largest systemic shift in our data was  
98 an AD-specific reduction in subunits of the 20S proteasome, the catalytic core of the  
99 primary protein degradation pathway in eukaryotic cells. This reduction coincided  
100 with reduced subunit stoichiometry both within and between the proteasome's two  
101 functional modules: the 20S core particle and 19S regulatory particle. Analyzing the  
102 relationship between 20S levels and protein abundance revealed unique properties of  
103 proteins that increase AD. First, they tend to have faster degradation rates than those  
104 decreased in AD. Second, proteins increased in AD harbor more signals that enable  
105 them to be targeted for degradation by the ubiquitin-proteasome system (UPS).  
106 Third, a number of proteins with large increases in AD have compartment-specific  
107 subcellular localizations and are targeted for UPS degradation when mislocalized.  
108 Taken together, our results are consistent with a model in which both decreased  
109 ubiquitin-proteasome system capacity and aberrant protein accumulation adversely  
110 impact protein homeostasis in AD.

## 111 **Results**

### 112 **Widespread Proteomic Changes in AD Identified using plexDIA**

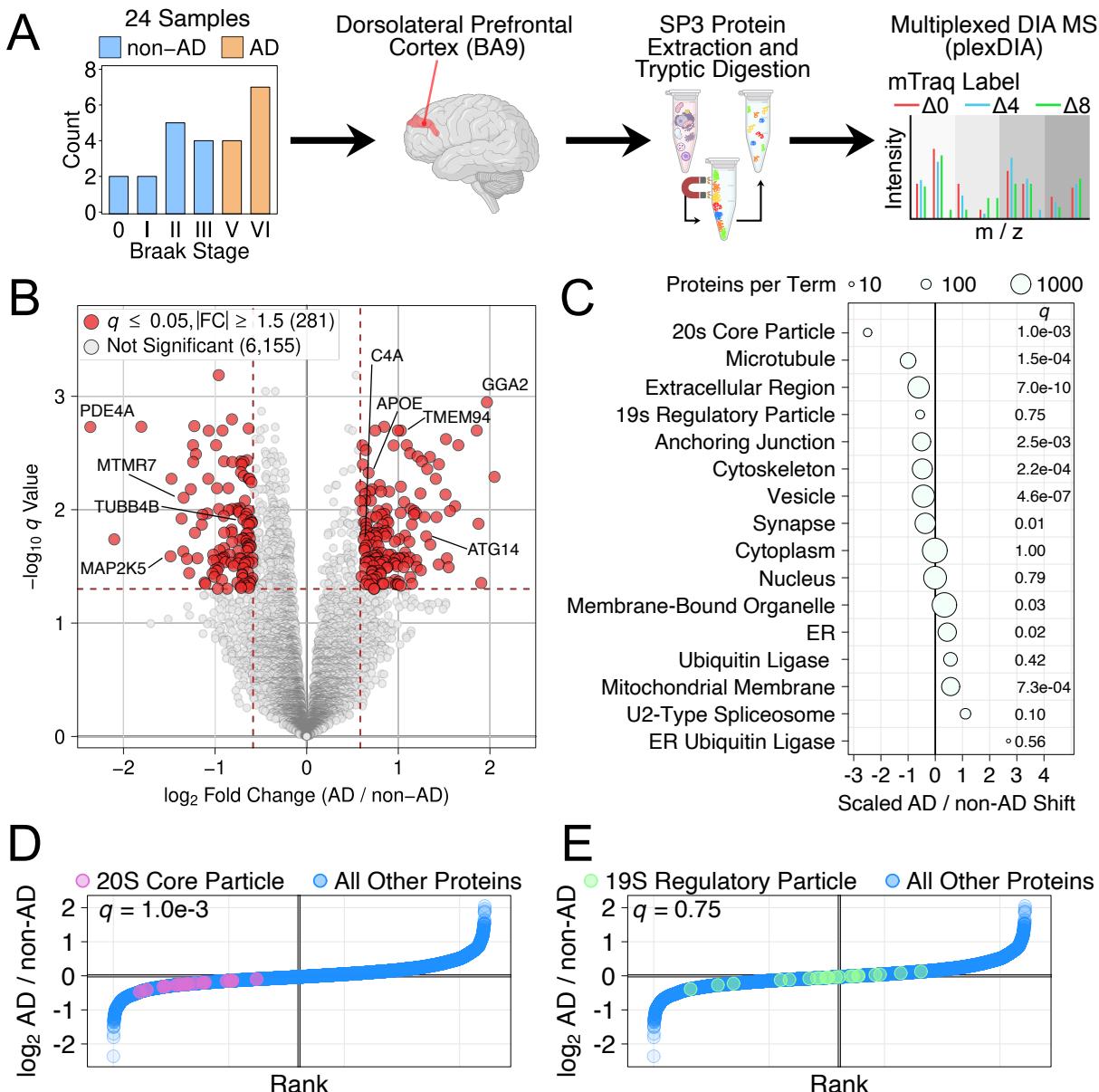
113 We sought to leverage recent advances in multiplexed sample processing and data  
114 acquisition<sup>38,41</sup> to characterize AD proteomic changes. To do so, we acquired brain  
115 tissue samples from a cohort of 24 individuals from the Massachusetts Alzheimer's  
116 Disease Research Center (ADRC). Tissue from Brodmann area 9 of the dorsolateral  
117 prefrontal cortex was used for all analyses. Hallmark AD tau pathology occurs  
118 primarily in non-cortical structures in Braak stage III or lower cases<sup>5,42,43</sup>. To  
119 increase our power to see AD-related proteomic changes, we therefore considered  
120 subjects at Braak stages 0-III "non-AD" and V and VI as "AD" (Figure 1A). We  
121 profiled samples using plexDIA, an approach for simultaneously analyzing of  
122 multiple samples (via mass tags that allow sample multiplexing) and proteins (via  
123 data-independent acquisition)<sup>38,39</sup>. We labeled individual samples using the non-  
124 isobaric (differing mass) mTRAQ labeling reagents, creating 8 batches of three  
125 samples each with similar distributions of age, sex, and disease stage (Figure 1A;  
126 Methods). To increase the specificity of sequence identification, we created a  
127 sample-specific spectral library using narrow isolation windows that allowed for  
128 high specificity mapping between precursors and their corresponding fragment

129 ions<sup>44</sup>. This allows for confident identification of peptides and their modification  
130 and the creation of specific spectral libraries for searching all spectra. Using a  
131 Thermo Exploris 480 instrument and DIA-NN<sup>41</sup>, we confidently identified and  
132 quantified 6,436 proteins across the set of 24 samples.

133 Exploratory analyses revealed that our data accurately captured known AD biology.  
134 We first visualized samples using principal component analysis (PCA), which  
135 revealed that samples separated clearly on the basis of disease status (“AD” or  
136 “non-AD”) along the first principal component (Supplementary Figure 1). Samples  
137 did not obviously separate on the basis of other demographic or technical factors  
138 unrelated to disease status (Supplementary Figure 1). We then determined the  
139 set of differentially abundant proteins between AD and non-AD samples. To  
140 identify robust, consistent signals in our data, we used a significance criteria of a  
141 *q* value less than 0.05 and an absolute fold change greater than 1.5. In total, 281  
142 proteins met these criteria. (Figure 1B). The set of differentially abundant proteins  
143 included many proteins with known roles in AD, such as increased APOE<sup>18,21,45</sup>  
144 and the inflammation-related complement C4A<sup>18,21,46</sup>, as well as decreased levels  
145 of cytoskeletal proteins<sup>18,21,47</sup> (MAP4 and tubulin beta-4B chain) and mitogen-  
146 activated protein kinase kinase 5 (MAP2K5) (Figure 1B). The largest absolute  
147 fold change was the significant decrease in the cAMP phosphodiesterase PDE4A,  
148 a change previously captured in large-scale studies of AD-associated proteomic  
149 changes<sup>18,21</sup> and a potential AD therapeutic target<sup>48</sup>.

150 AD is associated with widespread alterations in multiple cellular organelles, com-  
151 partments, and complexes, including the neuronal cytoskeleton<sup>47,49</sup>, the endolyso-  
152 somal system<sup>11,50</sup>, and the endoplasmic reticulum (ER)<sup>51,52</sup>. Although changes  
153 in selected individual proteins have been well-characterized<sup>53–55</sup>, a comprehen-  
154 sive compartment-specific census of AD-linked proteomic changes has not been  
155 achieved. To this end, we applied a recently-described approach for identifying  
156 shifts in specific cellular organelles, compartments, and complexes from bulk pro-  
157 teomics data<sup>56</sup>. The approach searches for systematic shifts in the fold change of  
158 proteins annotated to specific cellular compartments. To assess the approach in  
159 the context of AD, we first tested whether proteins annotated to the cytoskeleton  
160 exhibited consistent shifts between AD and non-AD samples. As expected, we  
161 observed a large, statistically significant (Wilcoxon *q* = 2.2e-4) shift, such that  
162 levels of cytoskeletal proteins were consistently lower in AD (Figure 1C).

163 We obtained similar results for microtubule proteins (Wilcoxon  $q = 1.5\text{e-}4$ ).



**Figure 1:** Study overview and AD proteomic changes. **A.** Schematic of the cohort and proteomic profiling approach. **B.** Volcano plot of proteins exhibiting differential abundance ( $q$  value less than 0.05 and an absolute fold change greater than 1.5) between AD and non-AD samples. **C.** Compartment-specific shifts were scaled and plotted to visualize the largest shifts between AD and non-AD, revealing that the shift in proteasome 20S subunit proteins was the largest negative shift between AD and non-AD samples. **D. / E.** Rank order plots showing relative fold change ranks of 20S (D.) and 19S (E.) proteasome subunits between AD and non-AD samples.

164 Having established the approach's ability to capture known AD compartment-  
 165 specific proteomic changes, we next applied it across the set of Gene Ontology<sup>57,58</sup>  
 166 Cellular Component terms. The largest AD-associated shift was the decrease in

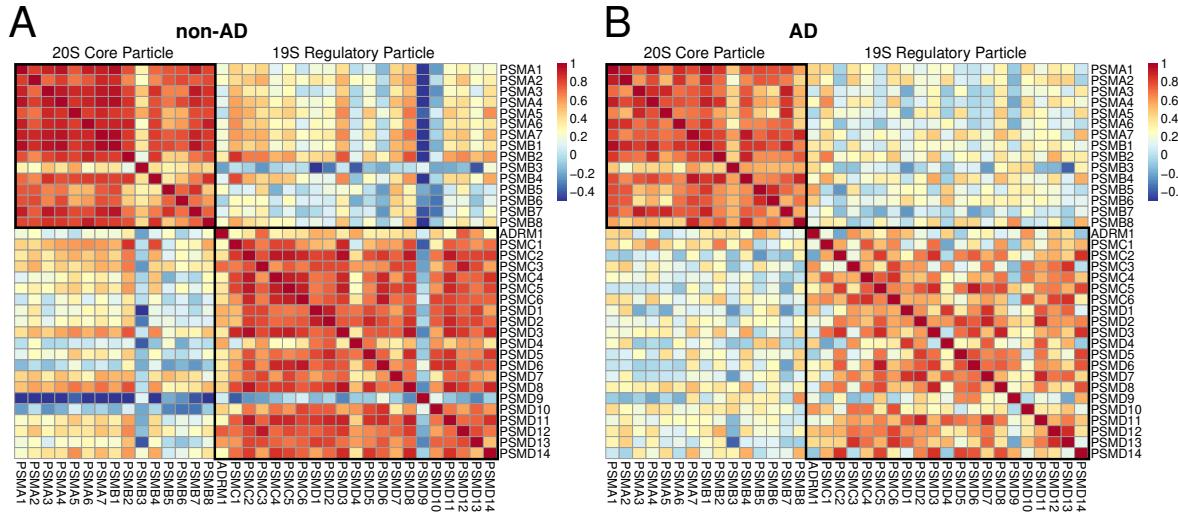
167 proteasome 20S core particle subunits (Wilcoxon  $q = 1\text{e-}3$ ; Figure 1C / D), consist-  
168 tent with the reduced proteasomal capacity recently observed in other human AD  
169 samples<sup>59–61</sup>. The 20S is the degradative core of the 26S proteasome, the primary  
170 protein degradation pathway in eukaryotic organisms<sup>62–64</sup>. The canonical configu-  
171 ration of the proteasome, the 26S form, consists of a single 20S core particle doubly  
172 capped with 19S regulatory particles. In this configuration, the 19S regulatory binds  
173 ubiquitinated substrates and processively unfolds and translocates them to the 20S  
174 core, where substrates are degraded to short peptides<sup>63–66</sup>. Emerging evidence also  
175 suggests that free 20S core particles are abundant within cells and exhibit distinct  
176 substrate preferences as compared to 26S proteasomes<sup>67–69</sup>. Thus, the shift in 20S  
177 core particles in AD may reflect decreased degradative capacity in AD-afflicted  
178 cells, further compounding proteostatic challenges induced by AD protein pathol-  
179 ogy<sup>68, 70, 71</sup>. We applied the same analysis to the 19S regulatory particle. Although  
180 selected subunits exhibited a leftward shift, the overall change was non-significant  
181 (Wilcoxon  $p = 0.75$ ; Figure 1C / E).

182 To put these results in context, we scaled all compartment-specific fold change  
183 shifts relative to that observed for microtubules, a large, significant change that  
184 reflects known AD biology. Doing so revealed multiple compartment-specific shifts.  
185 Some shifts likely reflect neuronal loss in AD, such as decreases in synaptic ( $q =$   
186 0.01) and vesicle-associated proteins ( $q = 4.6\text{e-}7$ ). Others likely reflect impaired  
187 proteostasis within AD-afflicted cells, such as increased levels of ER proteins ( $q$   
188 = 0.02; Figure 2C). The largest increase for AD was observed for ER ubiquitin  
189 ligases (Figure 2C), however this term did not reach statistical significance, likely  
190 owing to the small number of associated proteins detected in our study (6). Taken  
191 together, our results reveal proteomic changes across a diverse range of organelles,  
192 compartments, and complexes in AD.

### 193 **Reduced Proteasome Subunit Stoichiometry in AD**

194 The 20S proteasome consists of a barrel-shaped arrangement of stacked  $\alpha$  and  $\beta$   
195 subunits arranged as heteroheptameric rings<sup>72–74</sup>. Consistent with these structural  
196 requirements, the production rate of 20S subunits is generally highly similar be-  
197 tween subunits<sup>75</sup> and the abundance of individual subunits is highly correlated<sup>76, 77</sup>.  
198 In contrast, the 19S regulatory particle can exist in multiple configurations and  
199 multiple subunits are often produced in stoichiometric excess<sup>78–81</sup>. To understand  
200 if the shift in 20S core particle abundance reflects a reduced stoichiometry among

201 19S and 20S complexes, we computed correlations within and between individual  
202 proteasome subunits of the 19S regulatory particle and 20S core particle. As ex-  
203 pected, the correlation among 20S core particles subunits was large and positive.  
204 However, AD samples showed significantly reduced correlations among all 20S  
205 subunits (Wilcoxon  $p = 0.021$ ; Figure 2).



**Figure 2:** Proteasome subunit stoichiometries in non-AD and AD samples. Correlations between the abundance of individual proteasome subunits were visualized as a heatmap for non-AD and AD samples. A. / B. non-AD samples (A.) showed significantly higher correlations among components of the 19S regulatory particle and 20S core particle as compared to AD samples (B.).

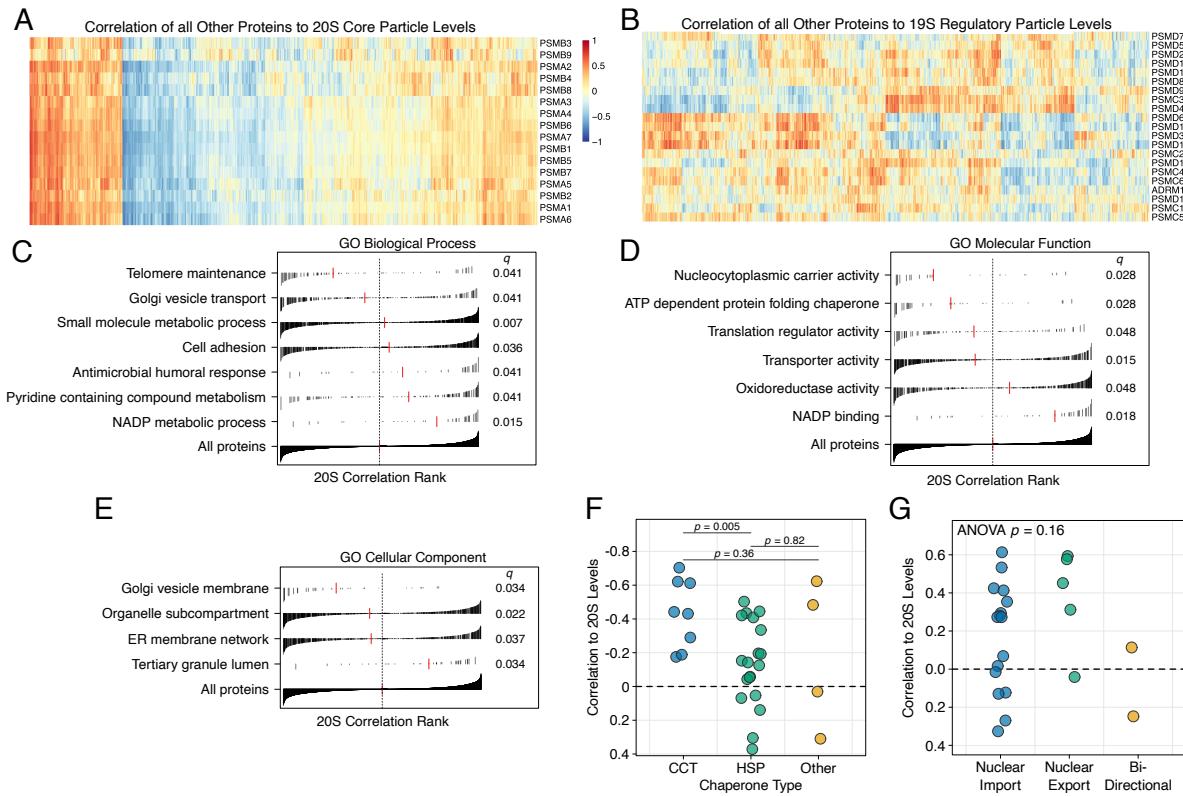
206 An even more marked reduction in subunit correlations was observed for the 19S  
207 regulatory particle in AD cases (Wilcoxon  $p = 3e-17$ ; Figure 2). Together, these  
208 results reveal a widespread decoupling of the abundances of the subunits of the  
209 proteasome that may result from or further exacerbate defects in protein quality  
210 control pathways in AD<sup>11,59–61,71</sup>.

## 211 Multiple AD-relevant Protein Sets are Correlated with 20S Levels

212 To better understand the relationship between proteasome levels and protein abun-  
213 dance, we correlated levels of individual proteasome subunits with all other proteins  
214 across our 24 samples. Owing to their highly similar abundances, protein corre-  
215 lations were consistent among 20S subunits (Figure 3A). We observed three distinct  
216 bands, one with mostly positive, one with mostly negative, and one with low overall  
217 correlation to 20S levels (Figure 3A, left to right). In contrast, proteome-wide cor-  
218 relations to 19S regulatory particle levels displayed two distinct clusters and much  
219 lower overall consistency among subunits (Figure 3B). We reasoned that we could  
220 use the median of 20S correlations to individual proteins to identify categories

221 of proteins well-correlated to 20S levels. Multiple proteins with known roles in  
222 AD positively correlated to 20S levels. HSPE1 is a chaperone protein involved  
223 in the mitochondrial unfolded protein response<sup>82</sup> and also implicated in AD<sup>83</sup>.  
224 Consistent with their roles in protein quality control, HSPE1 and 20S levels were  
225 significantly positively correlated ( $r = 0.67, q = 0.03$ ). Variation in the *NTM* gene  
226 encoding neurotrimin, a neural cell adhesion molecule, modulates tau pathology  
227 levels in AD<sup>84</sup>. Neurotrimin levels were positively correlated with 20S levels ( $r =$   
228  $0.74, q = 0.014$ ). Calsystenin-1 (CLSTN1) is involved in axonal transport of amy-  
229 loid beta and the protein is reduced in the brains of AD patients<sup>85</sup>. Calsystenin-1  
230 levels were positively correlated with 20S levels ( $r = 0.64, q = 0.046$ ). Reduced  
231 calsystenin-1 is known to trigger amyloid beta accumulation<sup>85</sup>, a phenomenon that  
232 likely exacerbates proteotoxic stress resulting from decreased proteasome capacity  
233 in AD.

234 Proteins negatively correlated to 20S levels are of particular interest, since they  
235 likely include proteasome substrates. Correlation analysis alone cannot determine  
236 whether a protein rises to increased abundance due to reduced proteasome levels or  
237 activity. However, analyzing correlations and additional properties, such as degra-  
238 dation rate, number of degradation-targeting signals (“degrons”<sup>86</sup>), and subcellular  
239 localization can be used to generate testable hypotheses about protein homeostasis  
240 in AD. Ribosomal proteins accumulate in protein aggregates in the aging brain  
241 due to impaired clearance mechanisms, including reduced proteasome activity<sup>87</sup>.  
242 Consistent with this observation, we observed significant negative correlations  
243 between 20S levels and the ribosomal subunits RPS2 and RPL11 ( $r = -0.71, -0.66,$   
244  $q = 0.016$  and  $0.041$ , respectively). Excess synaptosomal protein 1 (a phosphoinositide  
245 phosphatase) contributes to synaptic defects in AD<sup>88</sup>. We observed a significant  
246 negative correlation of the protein to 20S levels ( $r = -0.8, q = 0.01$ ). Aberrant in-  
247 creases in dynamin 1-like protein lead to mitochondrial defects and the protein has  
248 previously been implicated in AD<sup>89</sup>. We observed a significant negative correlation  
249 to 20S levels ( $r = -0.69, q = 0.02$ ). Together, these analyses reveal multiple proteins  
250 with known connections to AD and strong correlations to 20S levels.



**Figure 3: Proteome-wide correlations to 20S levels. A. Proteome-wide correlations to 20S proteasome core particle subunit levels. B. Proteome-wide correlations to 19S proteasome regulatory particle levels. C. – E. Levels of all proteins were correlated to 20S subunit median abundance and protein set enrichment analysis was run on the resulting set of correlations. Plots display significantly enriched ( $q \leq 0.05$ ) Gene Ontology Biological Process (C.), Molecular Function (D.), and Cellular Compartment (E.) terms. Black lines show individual proteins with the correlation magnitude displayed on the y axis. Red lines show the median for all proteins mapping to the indicated term. The full set of 20S correlations is shown for reference at the bottom of each plot in C - E. F. Proteins from the “ATP dependent protein folding chaperone” GO term were stratified by chaperone type and each protein’s correlation to 20S levels was visualized. As shown, proteins of the chaperonin containing TCP-1 (CCT) complex were significantly more negatively correlated to 20S levels than HSP chaperones. G. Proteins from the GO “Nucleocytoplasmic carrier activity” term were stratified by their direction of transport. There were no significant differences in 20S correlations between transporter types.**

251 To provide a compartment- and function-specific view of proteomic correlations to  
 252 20S levels, we performed protein set enrichment analysis using the set of Gene On-  
 253 tology (GO)<sup>57,58</sup> Cellular Component, Biological Process, and Molecular Function  
 254 terms. We identified 17 terms across the three domains at a 5% false discovery rate  
 255 (FDR; Figure 3C-E). Overall, 9 of the 17 significant terms were driven by negative  
 256 protein correlations to 20S levels. Protein localization to subcellular compartments  
 257 or multi-protein complexes was a theme across the set of proteins negatively corre-  
 258 lated to 20S levels, reflected at a high level in the significant enrichment for the GO  
 259 Cellular Compartment term “Organelle subcompartment” ( $q = 0.022$ ; Figure 3B).

260 Specific compartments reflecting this enrichment included “Golgi vesicle mem-  
261 brane” ( $q = 0.034$ ), “ER membrane network” ( $q = 0.037$ ), “Nucleocytoplasmic  
262 carrier activity” ( $q = 0.028$ ), and “translation regulator activity” ( $q = 0.048$ ).

263 The main theme amongst enriched terms resulting from positive correlations to 20S  
264 levels was NADP metabolism. This was reflected in significant enrichments in the  
265 GO Biological Process terms “Pyridine containing compound metabolism” ( $q =$   
266 0.041) and “NADP metabolic process” ( $q = 0.015$ ), as well as the molecular func-  
267 tion terms “Oxidoreductase activity” ( $q = 0.048$ ) and “NADP binding” ( $q = 0.018$ ).  
268 There are no obvious functional or structural links between proteins from these  
269 terms and the proteasome. However, the significant positive correlation among  
270 these proteins may reflect similar energetic demands of ATP-dependent proteasomal  
271 protein degradation and NADP-dependent biosynthetic reactions. Thus, the ob-  
272 served positive correlations may reflect energetic imbalances, a well-characterized  
273 hallmark of AD<sup>90–93</sup>.

274 We next examined the set of proteins contained within selected significantly en-  
275 riched terms. ATP-dependent folding chaperone proteins were negatively correlated  
276 with 20S levels and are elevated in AD, where they may mitigate proteotoxic stress  
277 and protein aggregation<sup>94,95</sup>. The chaperones contained in the “ATP-dependent  
278 folding chaperone” term comprise three main categories: those of the Chaperonin  
279 Containing TCP-1 (CCT) complex, heat shock proteins (HSPs), and other non-CCT  
280 / non-HSP chaperones. We stratified the set of proteins in our data based on these  
281 categories to determine whether a specific class was more strongly associated with  
282 20S levels. CCT proteins were significantly more negatively correlated to 20S  
283 levels than HSP proteins (t-test  $p = 5e-3$ ; Figure 3F). No other differences were  
284 observed among chaperone categories (t-test  $p > 0.05$ ; Figure 3F). CCT members  
285 bind and potently inhibit tau aggregation<sup>96</sup>, providing a potential explanation for  
286 their robust induction in response to decreased 20S levels.

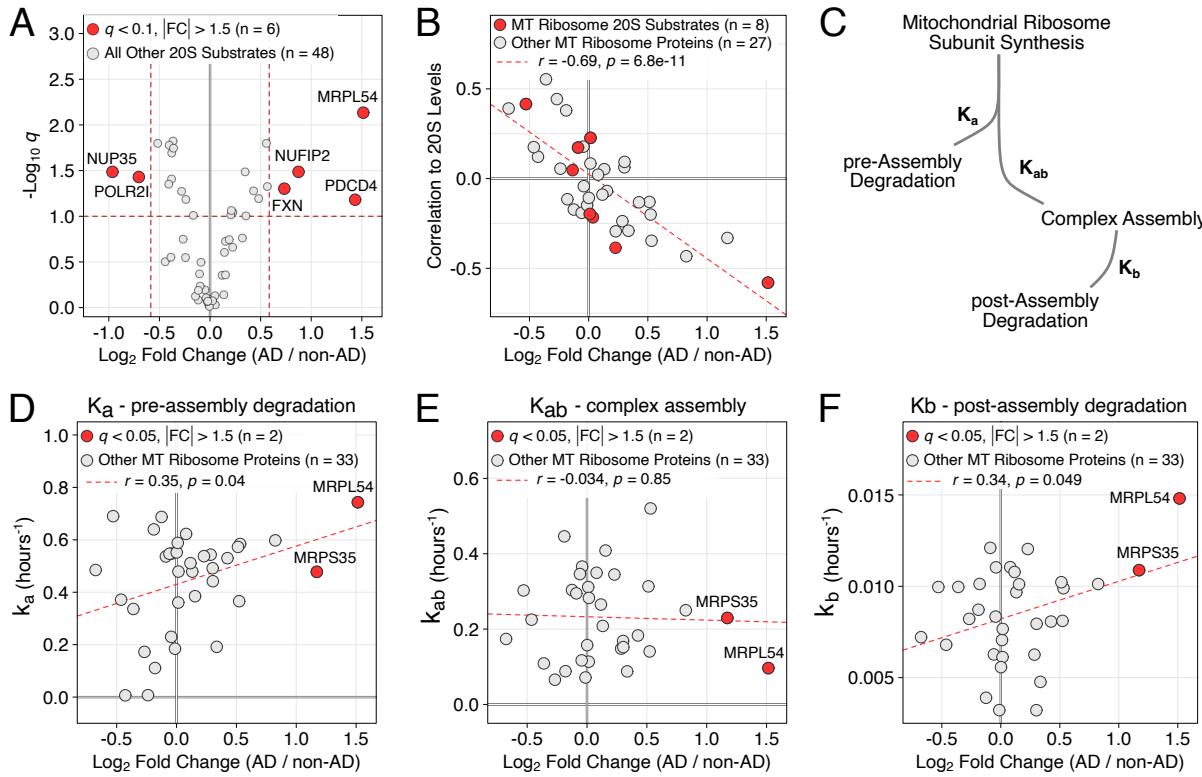
287 Nucleocytoplasmic transport defects have previously been described in AD and  
288 individual nuclear pore complex subunits accumulate in AD-afflicted neurons<sup>97</sup>.  
289 Similarly, we observed that nucleocytoplasmic transport proteins were significantly  
290 negatively correlated to 20S levels. The set of proteins contained in the GO  
291 “Nucleocytoplasmic carrier activity” term comprise proteins that facilitate nuclear  
292 import, nuclear export, or bi-directional transport of proteins between the nucleus  
293 and cytoplasm. We stratified proteins based on these categories to understand if a

294 particular transport direction was more strongly associated with 20S levels. None  
295 of categories differed significantly in their correlation to 20S levels (ANOVA  $p =$   
296 0.16; Figure 3G).

297 **Increased Mitochondrial Ribosome Subunits Suggest Quality Control Defects  
298 in AD**

299 To better understand our observation that many proteins targeted to specific or-  
300 ganelles, subcellular compartments, or multi-protein complexes were inversely  
301 correlated with 20S levels, we examined proteins in our dataset that are known  
302 20S substrates. 20S proteasomes are known to be highly abundant within cells  
303 and capable of directly degrading substrates without ubiquitination<sup>62,67,68,98</sup>. 20S  
304 proteasomes target distinct sets of substrates from 26S proteasomes. In particular,  
305 20S proteasomes exhibit a high affinity for proteins with intrinsically disordered  
306 regions, presumably because 20S proteasomes lack the 19S regulatory particle's  
307 unfolding capabilities<sup>67,69,99</sup>.

308 We identified 20S substrates in our data using previously-published datasets<sup>69,100</sup>.  
309 Examining the  $\log_2$  fold change (AD / non-AD) of 20S substrates revealed that 20S  
310 substrates were twice as likely to be increased versus decreased in AD (Figure 4A).  
311 Among the set of significantly increased 20S substrates, MRPL54, a subunit of  
312 the mitochondrial ribosome large subunit, was a clear outlier, both in terms of  
313 absolute fold-change magnitude and  $q$  value (Figure 4A). Another mitochondrial  
314 20S substrate, frataxin, was also significantly increased in AD (Figure 4A). PDCD4,  
315 a translational inhibitor<sup>101</sup>, was also significantly increased in AD. PDCD4 has long  
316 been known to be upregulated in AD<sup>102</sup>, but the mechanism by which this occurs  
317 is unknown. Our results raise the possibility that PDCD4 levels are increased, in  
318 part, as a result of decreased 20S proteasome levels. NUFIP2 is an intrinsically  
319 disordered RNA-binding protein that associates with cytoplasmic stress granules  
320 and shuttles between the nucleus and cytoplasm<sup>103</sup>. NUFIP2's interaction with  
321 TDP-43 drives TDP-43 mis-localization and aggregation in a frontotemporal lobar  
322 degeneration (FTLD) model system<sup>104</sup>. The significantly increased levels of NU-  
323 FIP2 we observe may similarly contribute to TDP-43 dysfunction in AD through a  
324 failure of 20S proteasomes to degrade NUFIP2.



**Figure 4: AD-associated changes in 20S substrates.** **A.** Volcano plot of  $\log_2$  fold change versus  $q$  for 20S substrates. 20S substrates are more often increased in AD. **B.** 20S correlation and  $\log_2$  fold change are strongly negatively correlated, both among 20S substrates and all detected mitochondrial ribosome proteins. **C.** Two-step pathway of mitochondrial ribosome assembly. Based on previously-published results<sup>105</sup>. **D.-F.** Correlation of rate constants measuring pre-assembly degradation rate ( $k_a$ , D.), complex assembly ( $k_{ab}$ , E.), and post-assembly degradation ( $k_b$ , F.). Mitochondrial ribosomal protein abundance is significantly correlated with both degradation rates, but not complex assembly. Note that the pre-assembly degradation rates are much higher than post-assembly (compare y-axis in D. / E.)

325 Based on the large and significant increase in MRPL54, we further examined the  
 326 set of mitochondrial ribosome proteins in our data. Doing so revealed a highly  
 327 significant relationship between the correlation of mitochondrial ribosome subunits  
 328 to 20S levels and their fold change in AD (Figure 4B). Mitochondrial ribosomes are  
 329 assembled through a multi-step process that requires translocating subunits encoded  
 330 by the nuclear genome into mitochondria and assembling them into functional  
 331 ribosomes through a series of assembly intermediates<sup>105-107</sup>. Select individual  
 332 subunits are produced in stoichiometric excess<sup>105,106</sup> and degraded with kinetics  
 333 similar to those observed for other protein complexes (Figure 4C)<sup>108</sup>. Specifically,  
 334 free subunits are rapidly degraded unless they are incorporated into functional  
 335 mitochondrial ribosomes. The turnover of these subunits is thus reflected by three

336 parameters,  $k_a$  - the turnover of free subunits,  $k_{ab}$  - the rate of complex formation,  
337 and  $k_b$  - the turnover of subunits within mitochondrial ribosomes. In general,  
338 proteins within complexes are more stable than free subunits<sup>108</sup> and, consequently,  
339  $k_a$  values are generally much higher than  $k_b$ .

340 Analyzing the relationship between subunit turnover and abundance in AD revealed  
341 a previously-unappreciated relationship. Specifically, the  $\log_2$  fold change (AD  
342 / non-AD) of individual mitochondrial ribosome subunits was significantly and  
343 positively correlated with the degradation rate constants  $k_a$  and  $k_b$ , but not the  
344 rate of complex formation,  $k_{ab}$  (Figure 4D-F). That is, proteins that are rapidly  
345 degraded tended to be elevated in AD, consistent with a model in which defects in  
346 protein quality control pathways contribute to the accumulation of mis-localized,  
347 dissociated subunits of protein complexes. Notably, MRPL54, the subunit with the  
348 largest increase in AD (and among the largest increases across all proteins), has the  
349 most rapid degradation rates of both the free subunit and in complex (Figure 4D,  
350 F). Prior proteomic profiling studies have identified increases in individual mito-  
351 chondrial ribosome subunits<sup>18, 19, 21</sup> but neither they nor our study have observed  
352 systematic shifts in mitochondrial ribosomes. Our results suggest that this is, in  
353 part, because subunits that would normally rapidly be turned over preferentially  
354 accumulate, while those with slower turnover are less affected. More generally,  
355 they are consistent with a model in which proteins that are normally rapidly turned  
356 over when they mis-localize or fail to assemble into a protein complex rise to high  
357 abundance in AD.

### 358 UPS Substrates are Increased in AD

359 Proteasome abundance is regulated according to the proteostatic needs of the  
360 cell<sup>109, 110</sup> and imbalanced proteasome subunit stoichiometry may further exacerbate  
361 AD-linked proteostasis defects<sup>111</sup>. We observed that 20S core particle abundance  
362 is decreased and both 19S and 20S subunits show reduced stoichiometry in AD.  
363 These observations raise the possibility that some proteins that would otherwise be  
364 targeted and degraded by the ubiquitin-proteasome system (UPS) are not efficiently  
365 removed from cells. To systematically investigate this possibility, we examined  
366 the properties of the set of differentially abundant proteins between AD and non-  
367 AD samples. We first examined the degradation rates of differentially abundant  
368 proteins. We reasoned that proteins exhibiting increased abundance owing to  
369 aberrantly diminished UPS activity would show large AD / non-AD fold changes

370 and rapid degradation rates. Using a recently published dataset that measured  
371 degradation rates in mouse brain tissue<sup>112</sup>, we plotted the degradation rate and fold  
372 change, separating proteins based on fold change direction (Figure A). Among  
373 proteins increased in AD, we observed a significant positive correlation ( $r = 0.24$ ,  
374  $p = 0.024$ ) (Figure A). This trend was more evident when the data were binned by  
375  $\log_2$  fold change, with a clear increase in degradation rate observed for proteins  
376 with a fold change greater than 1.5 (Figure B). In contrast, there was no relationship  
377 between degradation rate and  $\log_2$  fold change for proteins that were decreased  
378 in AD (Figure A / B). These differences led us to explore relationships among  
379 differentially abundant proteins and properties of UPS substrates.

380 Intrinsically disordered regions can facilitate the degradation of cellular proteins,  
381 potentially by acting as unstructured initiation regions for degradation<sup>66,113,114</sup> or  
382 encoding ubiquitin system or proteasome recognition motifs<sup>115,116</sup>. We therefore  
383 explored whether proteins increased or decreased in AD differed significantly in  
384 the fraction of disordered residues in their N- and C-termini. We defined the N-  
385 and C-termini of proteins as the first or last 100 amino acids, respectively and used  
386 DISOPRED for disorder predictions<sup>117</sup>. At the N-terminus, differentially abundant  
387 proteins between AD and non-AD had similar fractions of disordered residues  
388 (Wilcoxon  $p = 0.18$ ; Figure C). At the C-terminus, however, proteins decreased  
389 in AD had a significantly greater fraction of disordered residues, contrary to our  
390 hypothesis (Wilcoxon  $p = 0.01$ ; Figure C).

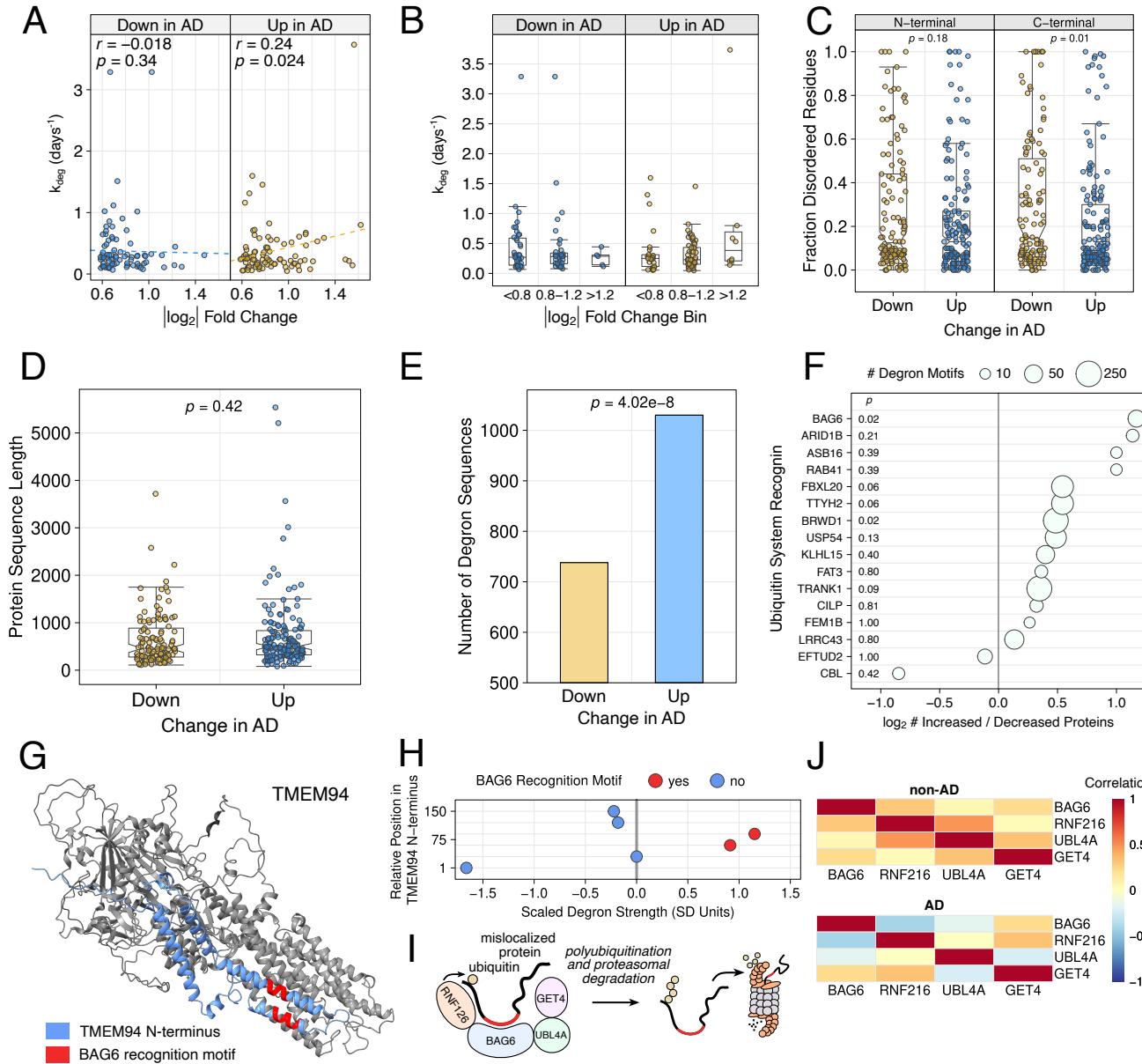
391 We next assessed whether differentially abundant proteins differed in the number of  
392 signal sequences that allow them to be targeted for UPS degradation. The canonical  
393 pathway for UPS protein degradation involves E3 ubiquitin ligases binding degrons,  
394 then ubiquitinating substrate proteins<sup>86</sup>. Poly-ubiquitinated proteins are then bound  
395 and degraded by the proteasome. We searched for degrons among our differen-  
396 tially abundant proteins using a curated database containing thousands of human  
397 protein degron sequences<sup>115,118-123</sup>. We note that this database does not include  
398 modification-dependent degrons, such as the recognition of phosphorylated tau by  
399 CHIP-HSC70<sup>124</sup>. Despite similar overall lengths (Wilcoxon  $p = 0.42$ ), proteins  
400 increased in AD harbored significantly more UPS degrons (Wilcoxon  $p = 4.2\text{e-}08$ ;  
401 Figure D / E). These results provide further evidence that proteins that would  
402 normally be targeted for degradation by the UPS accumulate in AD.

403 Specific E3 ligases often target distinct classes of proteins. We next assessed

404 whether degrons specific to individual E3 ligases were enriched in the set of differentially abundant proteins we identified. To do so, we computed the  $\log_2$  ratio of proteins of increased abundance versus decreased abundance for degrons bound by individual E3 ligases. The results showed a clear and significant enrichment of BAG6 motifs among proteins of increased abundance. BAG6 is a chaperone protein that functions in complex with the E3 ligase RNF126, GET4, and UBL4A<sup>125-127</sup>.  
405 The BAG6 complex specifically identifies mis-localized proteins within the cytoplasm and participates in endoplasmic reticulum-associated degradation<sup>125, 127, 128</sup>.  
406 Increased abundance of proteins with BAG6 motifs in AD may therefore result from inadequate protein quality control-based targeting and degradation.  
407

414 We examined the set of proteins of increased abundance that also contained BAG6 motifs to identify those that may accumulate due to impaired quality control mechanisms. One of the largest fold-change increases was seen for TMEM94, an ER-resident transport protein. TMEM94 contains two BAG6 motifs in its cytoplasmic-facing N-terminus (Figure G). To determine whether these motifs could influence TMEM94 degradation, we examined a recent proteome-wide degron identification dataset<sup>121</sup>. As compared to other peptides in the TMEM94 N-terminus, the BAG6 motif peptides were strongly destabilizing, suggesting they are authentic BAG6 degrons (Figure H).  
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423 The BAG6 complex functions through the association of BAG6, RNF126, GET4, and UBL4<sup>127-129</sup> (Figure I). To understand whether imbalances in the subunits of the complex might also contribute to increases TMEM94 levels in AD, we measured the correlation of individual subunits of the BAG6 complex. In non-AD samples, we observed significant positive correlations among individual BAG6 complex members. In contrast, in AD, the correlation among BAG6 subunits was reduced and, for some members, negative. Together, our results reveal a large increase in TMEM94 in AD that may result from the combination of proteasome dysregulation, as well as reduced stoichiometry of the BAG6 complex that likely targets mislocalized TMEM94 for proteasomal degradation through TMEM94's N-terminal degrons.  
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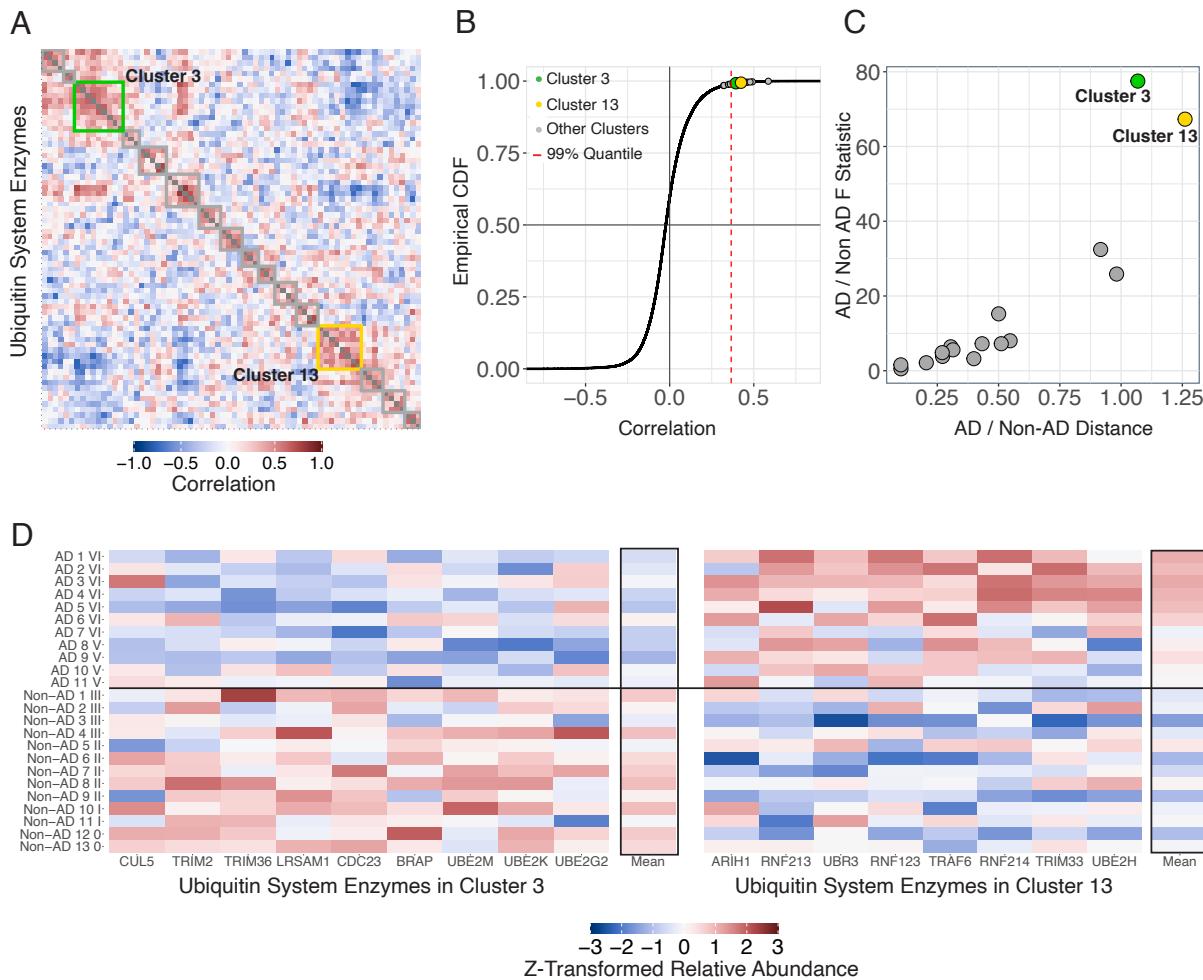
**Figure 5:** Properties of differentially abundant proteins between AD and non-AD samples. **A.** The  $\log_2$  fold change versus degradation rate was visualized for all differentially abundant proteins stratified by the fold change direction. **B.** Binning proteins by fold change revealed a subset of proteins that had large increases in AD and high degradation rates (rightmost box). **C.** Proteins of increased versus decreased abundance do not differ in the fraction of N-terminal disordered residues. Proteins decreased in AD have a significantly higher fraction of disordered C-terminal residues. Termini were defined as the first (N-terminus) or last (C-terminus) 100 amino acids of a protein. **D.** Proteins of increased versus decreased abundance in AD have similar lengths. **E.** Proteins of increased abundance have significantly more degron-containing peptide sequences than those decreased in AD. **F.** The  $\log_2$  ratio of the number of degron motifs in proteins of increased vs. decreased in abundance was stratified by E3 ligase. The largest and most significant difference was the enrichment of Bag6 degrons in proteins increased in AD (top row). **G.** The structure of TMEM94, a protein significantly increased in AD samples, is shown with its cytosol-facing N-terminus and Bag6 degron recognition motifs highlighted. **H.** The degron strength of peptides from the TMEM94 N-terminus were Z-transformed such that more potent degrons have higher values. Data are from a prior study<sup>121</sup>. **I.** Model depicting substrate recognition and processing the components of the Bag6 ubiquitin ligase complex. **J.** Correlations among the relative abundance of Bag6 complex subunits were plotted, revealing a significant decrease in subunit stoichiometry in AD samples.

#### 434 **Complex Alterations to Ubiquitin System Enzymes in AD**

435 Our results and those of others<sup>59–61,130</sup> suggest that decreased proteasome levels  
436 contribute to protein dyshomeostasis in AD. Prior to proteasomal degradation,  
437 many proteins must be ubiquitinated by ubiquitin system enzymes. To understand  
438 if ubiquitin system enzymes also contribute to disease proteopathic burden, we  
439 investigated their how their levels vary in AD. The ubiquitin system comprises E1  
440 ubiquitin activating enzymes, E2 ubiquitin conjugating enzymes, and E3 ubiquitin  
441 ligases. The human genome encodes 2 known E1s, approximately 20 E2s, and ap-  
442 proximately 600 E3 ligases<sup>131–133</sup>, the latter of which target proteins with distinct de-  
443 grons, structures, functions, activity states, and subcellular localizations<sup>63,65,132,134</sup>.  
444 Some E3 ligases primarily regulate physiological protein abundance, while others  
445 contribute to protein quality control by targeting misfolded, damaged, mislocalized  
446 proteins or unincorporated protein complex subunits<sup>63,65,108,132–134</sup>.

447 As expected given their large number and functional diversity, we did not identify  
448 systematic shifts in ubiquitin system enzymes (Supplementary Figure 2). Instead,  
449 they exhibited a continuous distribution of abundance differences between AD  
450 and non-AD samples. Of note, we did not observe altered levels of CHIP, an  
451 E3 ubiquitin ligase that targets tau<sup>135</sup>, in AD ( $q = 0.51$ ). To identify potentially  
452 informative subsets of proteins, we correlated the levels of all ubiquitin system  
453 enzymes detected in our data. We applied hierarchical clustering to the resulting  
454 matrix of pairwise correlations, which identified 16 clusters of highly correlated

455 ubiquitin system enzymes (Figure 6A). To evaluate the statistical significance of  
 456 the identified clusters, we generated an empirical null distribution by randomly  
 457 sampling ubiquitin system enzymes and computing the intra-cluster correlation.  
 458 We defined statistical significance as clusters that exceeded the 99% quantile of  
 459 our empirical null distribution. Using this approach, we identified 12 significant  
 460 clusters (Figure 6B).



**Figure 6:** Ubiquitin system enzyme alterations in AD. **A.** Hierarchical clustering was used to identify highly correlated subsets of ubiquitin system enzymes. A total of 16 clusters, numbered from top left to bottom right were identified. **B.** To determine the statistical significance of individual clusters, we used bootstrap-based resampling to generate 1,000 random clusters. Clusters exceeding the 99th percentile of the resulting empirical null distribution were considered significant. **C.** The discriminative ability of each cluster was evaluated using two complementary methods, the scaled Euclidean distance between AD and non-AD samples (x axis) and the F statistic from an ANOVA of AD versus non-AD samples. By both metrics, clusters 3 and 13 were clear outliers. **D.** Normalized levels of all cluster 3 and 13 ubiquitin system enzymes are shown, with each cluster's mean at right.

461 Our approach for defining clusters is based on protein covariation across all samples

462 and therefore does not consider abundance differences between AD and non-AD.  
463 To determine whether individual clusters could discriminate AD from non-AD  
464 samples, we used two complementary statistics. We evaluated the scaled Euclidean  
465 distance and F statistic of an ANOVA comparing cluster levels between AD and  
466 non-AD samples. By both approaches, the third and thirteenth clusters, which  
467 contained 9 and 8 ubiquitin system enzymes, respectively, robustly separated AD  
468 and non-AD samples (Figure 6C). Strikingly, the two clusters exhibited divergent  
469 patterns, such that proteins in cluster 3 tended to show decreased abundance in AD,  
470 while those in cluster 13 showed increased abundance (Figure 6D).

471 Inspection of individual cluster 3 and 13 revealed multiple proteins with known  
472 or putative roles in AD. CUL5, a Cullin-RING E3 ligase, was decreased in AD  
473 (Figure 6D). The protein was recently discovered to bind and ubiquitinate tau and  
474 genetic perturbations that decrease CUL5 levels increase tau oligomer accumulation  
475 in AD models<sup>136</sup>. TRIM2 is a RING finger E3 ligase that binds and regulates the  
476 levels of neurofilament proteins<sup>137</sup>. TRIM2 is highly expressed in the central  
477 nervous system (CNS) and ablating TRIM2 expression leads to neurodegeneration  
478 in mice<sup>137</sup>. BRAP (BRCA1-associated protein) is also highly expressed in the CNS  
479 and reducing its levels results in aberrant histone ubiquitination patterns, as well  
480 as neurodegeneration<sup>138</sup> in mice. We identified multiple E2 ubiquitin conjugating  
481 enzymes in cluster 3, including UBE2M, UBE2K, and UBE2G2 (Figure 6D).  
482 Decreased levels of selected E2s have previously been observed in AD<sup>139, 140</sup>.  
483 Notably, both UBE2M and UBE2K are implicated in protein quality control via  
484 ERAD<sup>141, 142</sup>, suggesting that the AD-associated decreases we observe in these  
485 proteins may further contribute to loss of protein homeostasis in AD.

486 Proteins in cluster 13 showed increased abundance in AD samples. TRAF6, an E3  
487 ligase that regulates the levels of signaling proteins, has previously been shown  
488 to increase in AD<sup>139</sup>. Cluster 13 contained multiple RING finger E3 ligases, in-  
489 cluding RNF123, RNF213, and RNF214. Several other RING finger E3 ligases  
490 are elevated in human AD<sup>143–145</sup> and our results identify additional examples of  
491 disease-associated increases in this class of E3s. ARIH1, an E3 ligase with roles in  
492 neuronal development, ubiquitinates multiple proteins with functional relevance  
493 to AD. In particular, ARIH1 modulates both microtubule stability and neurotrans-  
494 mitter release via its substrate targets<sup>146, 147</sup>, suggesting that altered levels of the  
495 protein in AD may exacerbate cytoskeletal and synaptic abnormalities.

## 496 Discussion

497 The full extent of protein dysfunctions in AD and the mechanisms that give rise to  
498 them have not been fully characterized. We used a recently-developed approach  
499 that provides deep proteomic coverage, highly accurate quantitation, and sample  
500 multiplexing capability<sup>38,39</sup> to measure the levels of approximately 6,400 proteins  
501 in a set of 24 AD and non-AD brain tissue samples. We identified hundreds of  
502 differentially abundant proteins, including many with no previously-described  
503 role in AD, that show large increases in AD samples. We also highlight multiple  
504 mechanisms that may directly or indirectly contribute to impaired protein quality  
505 control in AD. Our analysis of protein quality control pathways and their substrates  
506 in AD reveals general principles by which proteins may aberrantly accumulate in  
507 AD-afflicted cells and exacerbate disease-linked proteotoxic stress<sup>71,148–151</sup>.

508 The largest systematic change we observed in our data was a decrease in levels  
509 of 20S proteasome subunits. This result mirrors recently described-decreases in  
510 proteasome abundance and activity in AD<sup>59–61,130</sup>. The mechanisms that cause  
511 these decreases are not known, though multiple factors, including the accumulation  
512 of insoluble tau<sup>152</sup>, sequestration of the Nrf2 transcription factor that normally acti-  
513 vates proteasome genes<sup>59</sup>, post-translational modifications of individual proteasome  
514 subunits<sup>153</sup>, and mitochondrial defects<sup>92,136,151</sup> may all contribute.

515 Unlike 20S core particle subunits, 19S regulatory particle subunits were not sys-  
516 tematically decreased in AD. Such a scenario could result from a decreased ratio  
517 of 20S to 26S proteasomes or imbalances in the production and assembly of 26S  
518 proteasomes. 20S proteasomes exhibit distinct substrate preferences<sup>67,69,99,100</sup>, in  
519 particular, targeting intrinsically disordered proteins. Multiple intrinsically disor-  
520 dered proteins form pathological protein aggregates in AD<sup>70,149,154,155</sup>, which are  
521 typically extensively ubiquitinated. Thus, a reduction in 20S proteasomes may  
522 impair the normal physiological clearance of these molecules, which may then be  
523 sequestered in aggregates as a form of molecular triage<sup>70,95,156</sup>. This phenomenon  
524 may be relevant to tau pathology in AD. Tau contains multiple intrinsically disor-  
525 dered regions<sup>157</sup> and in vitro, the protein is degraded by the 20S proteasome without  
526 ubiquitination<sup>157–159</sup>. However, phosphorylation of tau inhibits its degradation by  
527 20S proteasomes. Thus, in AD, tau hyperphosphorylation and reduced 20S levels  
528 may result in impaired clearance that synergistically accelerates the accumulation  
529 of insoluble, aggregated tau. Tau in AD is also extensively ubiquitinated<sup>135,160,161</sup>,

530 highlighting that multiple UPS pathways may target the protein for clearance in  
531 AD.

532 Decreased 20S subunits and unchanged 19S levels could also reflect aberrant sub-  
533 unit synthesis and impaired assembly, leading to the accumulation of 19S subunits.  
534 We observed a striking reduction in subunit stoichiometries of 20S, but especially  
535 19S subunits, consistent with this notion. Prior proteomic profiling studies have  
536 described changes in proteasome subunits in AD<sup>18, 19, 22, 162</sup>. Such studies typically  
537 find, similar to our results for 19S, increased levels of some subunits and decreased  
538 levels of others. Thus, aberrant proteasome subunit stoichiometry appears to be a  
539 consistent feature of AD. Proteasome assembly is a multi-step, highly regulated  
540 process that proceeds through multiple assembly intermediates with the aid of  
541 molecular chaperones<sup>79, 81, 163</sup>. Some proteasome subunits are produced in excess  
542 and unincorporated subunits are degraded through dedicated quality control path-  
543 ways<sup>79, 81, 108, 163</sup>. Aging, a key AD risk factor<sup>32</sup>, is associated with both decreased  
544 proteasome activity and stoichiometry among proteasome complex subunits, both  
545 of which likely contribute to the results observed here<sup>76</sup>. Our understanding of  
546 proteasome assembly's role in aging and disease is less well-established. Although  
547 age-related defects in proteasome assembly have been described in multiple model  
548 systems<sup>164, 165</sup>, the topic remains relatively unexplored in the context of human  
549 aging and AD.

550 Decreased 20S levels in AD led us to explore whether proteasome substrates  
551 accumulate in disease. We started by correlating individual proteins to 20S levels.  
552 Protein set enrichment analysis revealed multiple biological processes and cellular  
553 compartments among the set of proteins negatively correlated to 20S levels. A  
554 key theme among these terms was protein localization to a specific subcellular  
555 compartment or protein complex. This led us to explore 20S substrates exhibiting  
556 increased abundance in AD. Among these was MRPL54 which, as a component of  
557 the mitochondrial ribosome, is both specifically localized and a protein complex  
558 subunit. MRPL54 exhibited one of the largest fold increases in AD of all proteins  
559 we profiled, as well as a fast degradation rate<sup>105</sup>. Analysis of proteins from the  
560 mitochondrial ribosome complex revealed significant associations between subunit  
561 degradation rates and fold changes in AD, such that subunits that are normally  
562 rapidly turned over accumulate in AD. Many mitochondrial proteins are encoded in  
563 the nuclear genome<sup>82, 105, 106</sup>. They must therefore be imported into mitochondria.

564 When the synthesis of mitochondrial proteins exceeds the translocation capacity of  
565 mitochondria, the UPS targets and degrades cytosolic mitochondrial proteins<sup>166</sup>.  
566 The set of 20S substrates includes mitochondrial ribosome proteins<sup>69, 100</sup>, suggesting  
567 that decreased proteasome levels could lead to the accumulation of unincorporated  
568 subunits. More generally, our results suggest that the combination of turnover  
569 rate and subcellular localization may have utility for predicting aberrant protein  
570 accumulation in AD. In this regard, precise, proteome-wide measurements of  
571 protein turnover rates<sup>105, 112</sup> in AD model systems, such as induced pluripotent stem  
572 cells, would be a valuable resource.

573 To extend these results, we examined the properties of differentially abundant pro-  
574 teins in AD. Our results revealed key features of proteins that increase in abundance  
575 in AD. First, we observed a significant positive association between a protein's  
576 turnover rate and its abundance in AD for proteins of increased, but not decreased  
577 abundance. This correlation was modest ( $r = 0.24$ ), an expected result given that  
578 proteins may rise to increased abundance in AD through multiple mechanisms.  
579 For example, we identified increased levels of complement C4A in AD, consistent  
580 with prior studies<sup>18, 21, 46</sup>. This increase is likely driven by inflammation in AD<sup>167</sup>,  
581 rather than failure to properly degrade the protein. Nevertheless, we identified  
582 a significant enrichment of UPS degrons within proteins increased in AD. The  
583 most significant enrichment was a motif commonly found in mislocalized proteins  
584 that is bound by the BAG6 complex<sup>127</sup>. BAG6 targets mislocalized proteins, as  
585 well as aggregation prone proteins and protein fragments<sup>125–128</sup>. Among BAG6  
586 degron-containing proteins, the largest increase was for TMEM94, an ER resident  
587 protein. Using data from a prior large-scale screening effort<sup>121</sup>, we determined  
588 that the cytosolic N-terminus of TMEM94 contains two likely authentic BAG6  
589 degrons. TMEM94 may thus rise to increased abundance in AD both as a result of  
590 mislocalization and decreased levels and stoichiometries of the BAG6 complex, a  
591 phenomenon we also observed in AD.

592 We also examined how levels of ubiquitin system enzymes change in AD. The  
593 ubiquitin system comprises hundreds of enzymes and we used hierarchical cluster-  
594 ing to identify informative subsets. The levels of proteins in two clusters robustly  
595 discriminated AD from non-AD samples. Cluster 3, which contained proteins that  
596 were decreased in AD, contained Cul5. Cul5 was recently shown to ubiquitinate  
597 tau<sup>136</sup> and the decrease in Cul5 we observe may further exacerbate tau dysfunc-

598 tion in AD. More generally, proteasome activation has long been considered a  
599 promising therapeutic target for AD<sup>168–170</sup>. However, our results make clear that  
600 therapeutic approaches targeting proteostasis should also consider alterations in  
601 ubiquitin system targeting of substrates.

602 Using plexDIA<sup>38,39</sup>, we quantified approximately 6,400 proteins across our 24  
603 samples. The proteomic depth of our dataset was limited by the relatively slow  
604 scanning speed of the MS instrument used and can increase significantly by using  
605 faster instruments, such as timsTOF Ultra and Orbitrap Astral. The throughput  
606 was only 3-fold higher compared to label-free approaches since we used mTRAQ  
607 tags, which enables the simultaneous multiplexing of up to 3 samples. In principle,  
608 however, the plexDIA framework can accommodate higher plexes. Mass tags,  
609 such as the recently-developed PSMtag that can support a 9-plex, can substantially  
610 expand throughput when combined with appropriate software<sup>171,172</sup>. Multiplicative  
611 gains can be achieved by combining tags with recently-developed orthogonal  
612 multiplexing approaches<sup>173</sup>. This will support scaling the analysis to larger co-  
613 horts. Furthermore, single-cell proteomic technologies are poised to significantly  
614 increase the resolution and power of the analyses performed here<sup>174</sup>. Given AD's  
615 considerable pathological heterogeneity and complex genetic and environmental  
616 risk factors<sup>15,30–32</sup>, the ability to profile single cells and larger cohorts would also  
617 be of great value.

618 Our results reveal previously-unappreciated aspects of UPS dysfunction in AD.  
619 We identify decreased levels of 20S proteasome subunits as the largest and most  
620 consistent proteomic change among cellular compartments in our data. Using  
621 protein correlations to 20S levels and publicly-available datasets, we reveal key  
622 principles of UPS substrates that increase in AD. Namely, they are rapidly turned  
623 over, they have compartment-specific subcellular localizations, they include protein  
624 complex subunits, and they are normally cleared by quality control pathways when  
625 mislocalized. Our results thus provide new insights into protein dysfunctions in  
626 AD and the mechanisms that give rise to them.

## 627 Materials and Methods

### 628 Cohort Selection and Tissue Samples

629 All postmortem frozen brain tissue samples were obtained from the Massachusetts  
630 Alzheimer's Disease Research Center (ADRC) brain bank. A cohort of 24 patients  
631 was selected based on primary and secondary diagnoses, as well as clinical and  
632 demographic characteristics. Post-mortem neuropathological evaluations were  
633 used to classify cases on the basis of AD neuropathologic changes. Neurofibrillary  
634 tangle pathology was scored according to the Braak staging system<sup>5</sup>. Amyloid  
635 beta deposition was scored using the Thal staging system<sup>175</sup>. To increase statistical  
636 power to detect disease-associated proteomic changes, we classified subjects Braak  
637 V or VI cases as "AD", while all other subjects were classified as "non-AD".  
638 Subjects were chosen so that the cohort contained similar numbers of AD and non-  
639 AD cases, as well as males and females. Table 1 provides detailed demographic  
640 information for each subject. Approximately 2 g of prefrontal prefrontal cortex  
641 tissue (Brodmann area 9) was dissected from each case and immediately stored at  
642 -80 °C until processed.

**Table 1:** Subject demographics. "ADRC" corresponds to the patient number, "PMI" - post-mortem interval (hours) between death and tissue collection, "Primary / Secondary Dx" - primary or secondary diagnosis, respectively, "CVD" - cardiovascular disease, "CAA" - cerebral amyloid angiopathy, "ARS" - atherosclerosis. Ages greater than 90 are listed as "90+" per IRB rules regarding patient privacy.

ADRC	Age	Sex	PMI	Braak Stage	Thal Stage	Primary Dx	Secondary Dx
1628	60	F	unknown	VI	4	AD	CVD
1636	86	M	20	VI	3	AD	
1669	86	M	10	I	0	control	
1703	73	F	20	0	0	control	
1821	92	M	unknown	II	0	control	
1837	68	M	27	I	0	control	
1845	90+	F	19	VI	5	AD	CVD, CA
1854	85	F	6	III	2	AD	CVD, CA
1886	58	F	18	0	0	control	
1906	71	M	12	III	4	AD	CVD, CA
1907	90+	F	14	III	2	AD	
1926	82	F	6	V	4	AD	CVD, CA
2015	90+	M	39	III	3	control	AD
2018	90+	F	24	II	1	control	
2068	79	F	9	II	0	control	ARS
2112	78	M	5	VI	4	AD	CV
2132	90+	F	30	V	4	AD	CV
2191	87	M	21	II	3	control	CVD, AD
2203	75	F	10	VI	5	AD	CVD
2223	90+	M	21	VI	4	AD	CV
2225	90+	F	14	V	4	AD	CAA
2232	65	F	11	V	5	AD	CVD
2233	72	F	10	VI	5	AD	CVD, ARS
2259	90+	F	30	II	3	AD	CVD

643 **Bulk Brain Tissue Processing and Protein Extraction**

644 Bulk tissue samples were processed to generate cell lysates using bead-based  
645 tissue disruption, as previously described<sup>176</sup>. Approximately 50 mg of tissue was  
646 transferred to a microcentrifuge tube containing zirconium oxide beads (Next  
647 Advance 430917) and 600  $\mu$ l lysis buffer on ice. The lysis buffer contained 75  
648 M NaCl, 50 mM EPPS (pH 8.5), 10 mM sodium pyrophosphate, 10 mM sodium  
649 orthovanadate, 3% SDS, 10 mM PMSF, and one EDTA-free protease inhibitor  
650 tablet (Roche 11873580001). After adding the tissue, the tubes were transferred  
651 to a Mini-Beadbeater 16 (Biospec). Samples were processed for 30 seconds then  
652 placed on ice for 2 minutes. This process was then repeated twice to ensure the  
653 tissue lysed completely.

654 We used the single-pot, solid phase-enhanced sample preparation (SP3) method<sup>177</sup>  
655 to extract and purify peptides from brain tissue lysates. The SP3 workflow uses  
656 paramagnetic beads that bind proteins via hydrophilic interactions to separate  
657 proteins from complex mixtures<sup>177</sup>. Equal amounts of Sera-Mag E3 and E7 beads  
658 (5 mg; Cytiva 65152105050250 [E3] and 45152105050250 [E7]) were added to a  
659 microcentrifuge tube. To condition the beads, the tube was placed in a magnetic  
660 rack, the supernatant was removed, 200  $\mu$ l of mass spectrometry grade water was  
661 added, and the mixture was gently mixed by pipetting after removal from the  
662 magnetic rack. This process was repeated twice. The conditioned beads were then  
663 added at a 10:1 (wt:wt) ratio to the tissue lysates and mixed by gentle pipetting.  
664 One volume of 100% ethanol was then added to the bead-lysate mixture to induce  
665 protein binding to the beads. To enhance protein binding to beads, tubes were  
666 incubated in a thermal mixer at 24 °C for 5 minutes with shaking at 1000 rpm.  
667 The tubes were then returned to the magnetic rack and the supernatant removed.  
668 The tube was then removed from the magnetic rack and washed three times with  
669 180  $\mu$ l of 80% ethanol. The samples were then air dried. We carried out on-bead  
670 tryptic digestion of proteins to peptides by rehydrating the samples in 100 mM  
671 triethylammonium bicarbonate buffer (TEAB; pH 8.5) and trypsin. Tubes were  
672 gently inverted to mix the beads and solution. Each tube was subsequently sonicated  
673 in a water bath to disrupt bead aggregates. Digests were carried out overnight by  
674 incubating samples on a thermal mixer set to 37 °C for 18 hours with shaking at  
675 1000 rpm. Peptide abundances were quantified by absorbance at 280 nm using  
676 a NanoDrop Eight spectrophotometer (Thermo). Peptide supernatants were then  
677 transferred to new tubes and evaporated to dryness. Samples were resuspended in

678 100 mM TEAB.

679 To multiplex the analysis of brain tissue samples, peptide digests were labeled with  
680 mTRAQ using a previously-described approach<sup>38</sup>. We used the Δ0, Δ4, and Δ8  
681 tags (Sciex 4440015, 4427700, and 4427698, respectively) for sample labeling.  
682 Patients were assigned to batches, where each batch contains one sample each  
683 tagged with Δ0, Δ4, and Δ8 tags. Batch assignments were carried out so that each  
684 batch had a similar distribution of age, sex, and disease stage. Each mTRAQ tag  
685 was resuspended in isopropanol, then added a concentration of 0.1 U per 10  $\mu$ g of  
686 peptides per sample. The tag labeling reaction was carried out by incubating the  
687 samples at room temperature for 2 hours. Labeling reactions were quenched by  
688 adding 0.25 % hydroxylamine to the samples and incubating for 1 hour at room  
689 temperature, as previously described<sup>38</sup>. After quenching, samples were pooled  
690 based on the batching scheme described above.

### 691 LC-MS Analysis

692 Sample batches were analysed by LC-MS using an Orbitrap Exploris 480 MS  
693 (Thermo) coupled to a vanquish Neo LC system. For each sample batch, 1  $\mu$ g of  
694 peptides was loaded onto an Aurora Ultimate C18 (IonOpticks AUR3-25075C18;  
695 25 cm x 75  $\mu$ m) column. Samples were separated using a 135 minute gradient  
696 consisting of varying amounts of 0.1% formic acid in MS-grade water (buffer A)  
697 and 80% acetonitrile (ACN), 0.1% formic acid in MS-grade water (buffer B). The  
698 gradient started at 5% buffer B, increased to 7% buffer B within 0.5 minutes, then  
699 ramped to 32% buffer B over 120 minutes, and finally increased to 95% buffer  
700 B over the final 2 minutes. The column was washed at 95% B for 8 min, before  
701 dropping back to 5% in 0.1 min. The flow was kept constant at 200 nL / min.  
702 The total MS acquisition time per sample was 135 min and data was acquired in  
703 data-independent acquisition (DIA) mode.

704 To avoid contaminating the instrument with excess labeling reagent at the beginning  
705 of the gradient, the electrospray voltage was off during the first 5 minutes of each  
706 run and only set to 1900 V at minute 5. Since a droplet accumulates at the end of  
707 the emitter tip, it was blown off by an in-house developed assembly to the sweep  
708 gas outlet on the source and used time-dependent flow of 5 arbitrary units of sweep  
709 gas between minutes 4.5 and 5 of the method duration, as previously described<sup>178</sup>.  
710 The temperature of the ion transfer tube was 275 °C. One duty cycle consisted of  
711 2x (1 MS1 scan and 30 MS2 scans). The MS1 scans were conducted in profile

712 mode at a resolution of 120K with a scan range from 378 - 1372 m / z with RF lens  
713 level of 50% and a normalized AGC target of 300%. The first round of MS2 scans  
714 spanned a mass range of 380 - 620.5 m/z. The DIA windows were 8.5 Th wide  
715 with 0.5 Th overlaps. The normalized collision energy was set to 30, the orbitrap  
716 resolution was 30K, the RF lens level was set to 50%, the normalized AGC target  
717 was set to 1000%, and the maximum injection time was set to auto mode. The  
718 second round of MS2 scans was conducted at the same settings, but the mass range  
719 was 620 - 1370.5 m/z with 8.5 Th width for the first 8 DIA windows, then 17.5 Th  
720 for 9 windows, then 41.5 Th for 13 windows. The MS2 scans were acquired in  
721 centroid mode.

722 In a separate experiment, we used gas phase fractionation of a pooled sample of  
723 brain tissue lysate protein digest labeled with mTRAQ  $\Delta 0$  to create an empirical  
724 spectral library for searching our raw MS data<sup>44</sup>. To do so, we first pooled equal  
725 amounts of peptides from three samples (ADRC numbers 1845, 2097, and 2225).  
726 We then labeled the pooled sample with mTRAQ  $\Delta 0$  using the labeling protocol  
727 described above. We injected 1  $\mu$ g of labeled, pooled sample and six fractions were  
728 collected in triplicate. Library fractions were then analyzed on Orbitrap Exploris  
729 480 MS coupled to a Vanquish Neo LC system. Library fractions were profiled  
730 using the buffers and acquisition settings described above with the following  
731 modifications: 500 ng of peptide were loaded onto the C18 column. The gradient  
732 started at 4% B, increased to 5% B within 0.5 %min, then ramped to 28% B over  
733 120 min, and finally to 95% B over 2 min. The column was washed at 95% B for  
734 8 min, before dropping back to 4% in 0.1 min, with a constant flow rate of 200  
735 nL/min. The MS1 scans were conducted in profile mode at a resolution of 120K  
736 with RF lens level of 50501 m / z, 499 - 621 m / z, 619 - 741 m / z, 739 - 861 m / z,  
737 850 - 1101 m / z, 1099 - 1341 m / z. For the MS2 scans, the normalized collision  
738 energy was set to 30, the orbitrap resolution was 60K, the RF lens level was set to  
739 50%, the normalized AGC target was set to 1000% and the maximum injection  
740 time was set to auto mode. The MS2 scans were acquired in centroid mode. The  
741 first four fractions used 2.5 Th windows with 0.5 Th overlap, while the last two  
742 used 4.5 Th windows with 0.5 Th overlap.

## 743 LC-MS Data Processing

### 744 Library Generation

745 Raw files from our gas phase fractionated samples were used to create an empirical  
746 library using DIA-NN<sup>41</sup>. To do so, we created an *in silico* library using a FASTA

747 file containing the sequences of proteins previously identified  
748 The resulting raw files were used to create a library in DIA-NN. First, an in silico  
749 library was created using fasta files of AD-relevant proteins from literature and  
750 the mTRAQ label was added as described previously<sup>38</sup>. The resulting predicted  
751 library was then used to search the replicate injections of each fraction to generate  
752 empirical libraries, which were then combined into one final library.

753 **Protein Identification**

754 We first searched the gas phase fractionated spectra to create an empirical spectral  
755 library using DIA-NN<sup>41</sup>. The generated library was used to search all samples.  
756 We used the following DIA-NN settings to search the gas phase fractionated data:  
757 N-terminal methionine excision: enabled, peptide length: 7 - 30 amino acids,  
758 precursor m / z: 375 - 880, and charge: 1 - 5, variable modifications: acetylation  
759 and oxidation, and fixed modifications: mTRAQ. Channel-specific normalization  
760 was used and mass accuracy was set to 10 ppm for both MS1 and MS2. The  
761 match-between-runs feature was enabled.

762 **Data Processing and Analysis**

763 **Raw Data Processing and Statistical Analysis**

764 Raw data was processed using a modified version of a previously-described ap-  
765 proach<sup>38</sup>. To improve quantitative accuracy, we corrected for potential isotopic  
766 envelope interference using a previously-described approach<sup>38</sup>. Briefly, we com-  
767 puted the theoretical distribution of isotopes for each precursor and used this  
768 distribution to correct the signal of from mTraq labels for MS1-level signal. We  
769 used the 'diann\_maxlfq' function from the DIA-NN R package<sup>41</sup> (which imple-  
770 ments the LFQ algorithm) to quantify protein group abundances based on MS1  
771 area. Quantified protein groups were subsequently batch corrected for mTraq label  
772 and run using ComBat<sup>179</sup>. Batch correction and all subsequent statistical analyses  
773 were carried out in the R statistical computing environment<sup>180</sup>.

774 For the statistical analysis of the batch corrected, protein-level data, we first centered  
775 the columns of the proteins (rows), patient (columns) matrix to the median protein  
776 abundance for each patient. To make the quantification relative, we then normalized  
777 each protein to the mean of the 24 samples. We tested for differential abundance  
778 between AD and non-AD using a parametric F-test<sup>179</sup>, followed by false discovery  
779 rate ("FDR") correction using the Benjamini-Hochberg method. We considered

780 proteins significantly differentially abundant when they had an FDR level  $q$  value  
781  $\leq 0.05$  and an absolute fold change greater than 1.5 between AD and non-AD.

782 For the analysis of cellular compartment shifts, we used a previously-described  
783 approach for detecting systematic shifts in proteins annotated to a specific cellular  
784 compartment<sup>56</sup>. Briefly, we used the “MSigDB”<sup>181</sup> R package, which queries the  
785 Molecular Signatures Database<sup>182, 183</sup> to map proteins in our dataset to GO cellular  
786 compartment terms, then tested whether the terms are significantly shifted using a  
787 Wilcoxon test. Pairwise correlation matrices for various protein complexes, includ-  
788 ing the proteasome 20S core particle and 19S regulatory particle were computed as  
789 Pearson correlations. Protein set enrichment analyses were carried out using the  
790 “fgsea” R package<sup>184</sup>. For all protein set enrichment analyses, we considered GO  
791 terms significant at a 0.05 FDR.

792 We used several publicly available tools and datasets for additional analyses. We  
793 used the “UniProt.ws” R package (DOI: 10.18129/B9.bioc.UniProt.ws) to down-  
794 load protein sequences and DISOPRED<sup>117</sup> to predict the fraction of terminal disor-  
795 dered residues in each protein. For the analysis of UPS degrons in differentially  
796 abundant proteins, we used a curated database of E3 ubiquitin ligase-degron pair-  
797 ings and searched for matching sequences in our data. The TMEM94 structure  
798 was obtained from AlphaFold<sup>185</sup> and visualized using ChimeraX<sup>186, 187</sup>. Data on  
799 protein degradation data in mouse brain samples, mitochondrial ribosome turnover  
800 and assembly, and the degron potency of N-terminal TMEM94 peptides sequences  
801 were obtained from publicly available datasets from previous works<sup>105, 112, 121</sup>.

802 To identify informative sets of ubiquitin system enzymes, we first correlated the  
803 relative level of each pair of E3 Ligases across all samples in our batch corrected  
804 matrix,  $r_{ij}$  for all pairs of proteins  $i \neq j$ . As these correlations represent a  
805 measure of similarity, we can obtain a natural dissimilarity matrix as  $d_{ij} = 1 - r_{ij}$ .  
806 We formed clusters using the complete linkage approach, whereby each pair of  
807 proteins is initialized into distinct, individual clusters. Then, the two clusters with  
808 minimum dissimilarity (or maximum correlation) are merged together. Next, for  
809 each iteration, the maximum dissimilarity is computed between each cluster, as the  
810 maximum distance across all pairs of members between two clusters. The clusters  
811 associated with the smallest maximum dissimilarity are merged together, and this  
812 process continues until all points have been merged into a single cluster. In order  
813 to obtain distinct clusters, we cut the hierarchical tree based on height. Branches

814 connected above this height are considered members of the same cluster. As the  
815 tree is computed using 1 – correlation as the dissimilarity, and correlation can be  
816 measured as –1 to 1, the tree has maximum height 2, and clusters are formed in  
817 this case by cutting at 1.

818 To provide a measure of the statistical significance of each cluster, we generated  
819 an empirical null distribution by sampling random clusters of ubiquitin system  
820 enzymes. We randomly permuted cluster labels 1000 times and at each iteration,  
821 we calculate the average pairwise correlation among members of permuted clusters.  
822 We compare the average pairwise correlation among members of our selected  
823 clusters to that of the bootstrap clusters. Note that, as performing hclust results  
824 in clustering for the entire set of pairwise protein correlations, the distribution  
825 of permuted cluster correlations reflects a local, and not global, null distribution.  
826 We considered significant clusters to be those exceeding the 99% quantile of the  
827 empirical null distribution.

828 To evaluate the ability of ubiquitin system enzyme clusters to distinguish AD from  
829 non-AD samples, we used two complementary metrics. We first computed the F  
830 statistic by applying an ANOVA model to ubiquitin system enzymes present in  
831 each cluster, with AD status as the only explanatory variable. We used Euclidean  
832 distance, a complementary measure of discriminative ability, to further evaluate  
833 clusters. To obtain a scaled Euclidean distance, we compute the average relative  
834 protein intensity across patients with the same disease status for each ubiquitin  
835 system enzyme in each cluster. Next, we compute the euclidean distance between  
836 averages across disease status, and divide by the number of proteins in each cluster  
837 so as to ensure comparable distances between clusters of different sizes.

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844 data.

845 **Data and Code Availability**

846 Raw data used for all analyses described in the manuscript is available at:

847 The code used for data analysis and to produce figures is available at:  
848 [github.com/mac230/AD\\_bulk\\_plexDIA](https://github.com/mac230/AD_bulk_plexDIA)

849 **Competing Interest**

850 N.S. is a founding director and CEO of Parallel Squared Technology Institute,  
851 which is a nonprofit research institute. The authors declare no other competing  
852 interest.

853 **Author Contributions**

854 **Experimental design:** NS, BH, MC, AP, CF

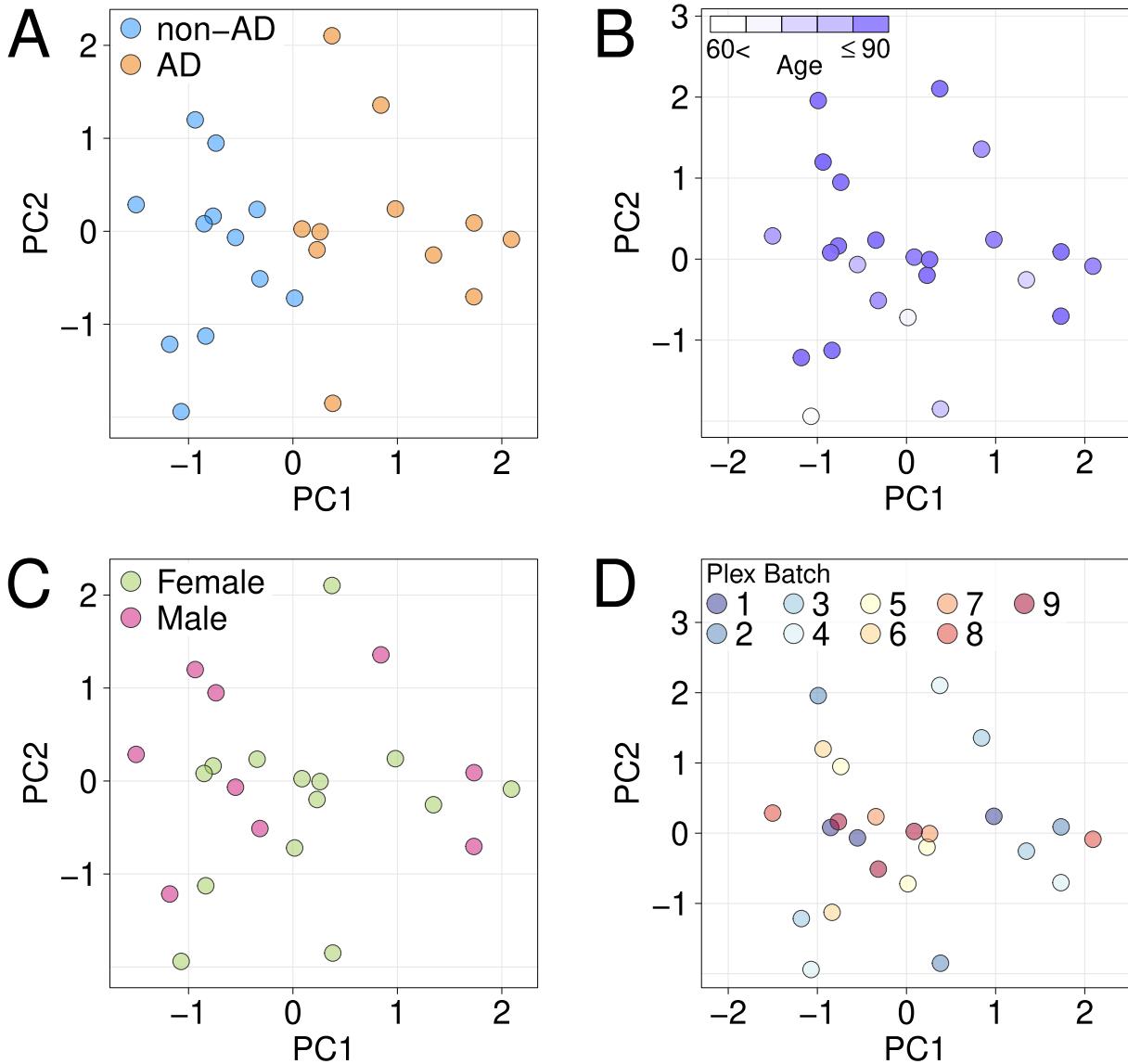
855 **Sample preparation and data acquisition:** PS, TCS, AM, KA

856 **Raising funding and supervision:** NS, AP, BH, DO, MC

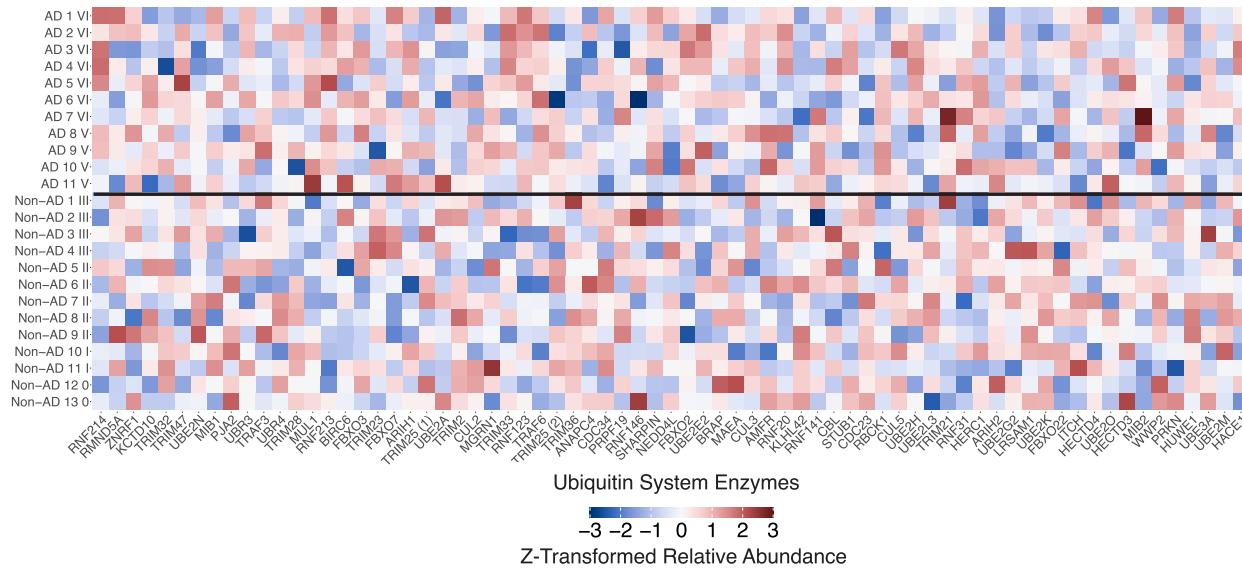
857 **Data analysis:** ME, CF, JD, MC

858 **Writing and editing:** MC, JD, BH, NS

859 **Supplementary Figures**



**Supplementary Figure 1:** Principal components analysis (PCA). PCA was used to visualize sample similarity across relevant biological and technical variables. The plots show samples plotted along the first and second principal components and colored according to **A.** AD status, **B.** binned age, **C.** sex, and **D.** sample batch.



**Supplementary Figure 2:** Heatmap of ubiquitin system enzymes colored by relative abundance for all 24 samples. Individual ubiquitin system enzymes are not clustered and samples are separated according to disease status (AD or non-AD), highlighting an absence of systematic shifts in the abundance of ubiquitin system enzymes.

860

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